Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **31-DEC-18** 

of M/s. Insight Securities (Pvt.) Ltd.

Submission Date 15-JAN-2019 17:42:37

Bits         Net         Adjustion         Net         Adjustion           1         Assols         0.0000         0.00000         0.00000           1.3         Investment In Det/, Soculities         0.00000         0.000000         0.000000           1.4         Investment In Det/, Soculities         0.00000         0.000000         0.000000           1.4         Investment In Det/, Soculities         0.000000         0.000000         0.000000           1.4         Investment In Det/, Soculities         0.000000         0.000000         0.0000000           1.4         Investment In Det/, Soculities         0.0000000         0.0000000         0.00000000           1.5         Investment In Det/, Soculities         0.00000000000000000000000000000000000		Submission Date 15-JAN-2019 17.42.57		Page	1 Of 3
Pack Super         Adjustments         Value           1         Access         5.794.015         5.794.015         5.794.015           12         Property & Equipment         5.794.015         5.794.015         5.794.015           13         Testiment ICost Securities         6.000.000         5.000.000         5.000.000           14         Presenter II Dett. Securities         1 <th></th> <th></th> <th></th> <th>-</th> <th></th>				-	
11         Property & Ecupionent         5.794.015         5794.015           13         Intersection in Cond. Securities         5.000.000         5.000.000           14         Investment in Debt. Securities         1         1           14         Investment in Debt. Securities         1         1           15         Interact on the Debt. Securities         1         1           15         Interact on the Debt. Securities in the case of lenue upto 1 year.         1         1           16         Interact on the Debt. Securities in the case of lenue upto 1 year.         1         1         1           17         Interact on the Debt. Securities in the case of lenue upto 1 year.         1	S.NO.	Head of Account			
1         Interstment in Display data (Up in Fight         5.000,000         5.000,000           1.3         Interstment in Display data (Up in Fight         5.000,000         5.000,000           1.4         Investment in Display data (Up in Fight         5.000,000         5.000,000           1.5         It is its all interpret data (Up in Fight         5.000,000         5.000,000           1.5         It is its all interpret data (Up in Fight         5.000,000         5.000,000           1.5         It is its all interpret data (Up in Fight         5.000,000         5.000,000           1.5         It is its all interpret data (Up in Fight         5.000,000         5.000,000           1.5         It is its all interpret data (Up in Fight         5.000,000         5.000,000           1.5         It is its all interpret data (Up in Fight         5.000,000         5.000,000           1.5         It is its all interpret data (Up in Fight         5.000,000         5.000,000           1.5         It is its all interpret data (Up in Fight         5.000,000         5.000,000           1.5         It is its all interpret data (Up in Fight         5.000,000         1.000,000           1.5         It is its all interpret data (Up in Fight         5.000,000         1.6,000,000           1.5         It is its all int	1	Assets			
1.2     Internation Lock Societies     5.000.000       1.4     Investment in Debt. Societies     0       1.4     Investment in Debt. Societies     0       1.5     Investment in Debt. Societies     0       1.6     1.7     1.7     0       1.7     1.7     1.7     1.7     0       1.8     1.7     1.7     1.7     1.7       1.8     1.7     1.7     1.7     1.7       1.8     1.7     1.7     1.7     1.7     1.7       1.8     1.7     1.7     1.7     1.7     1.7     1.7       1.8     1.7     1.7     1.7     1.7     1.7     1.7     1.7       1.8     1.7     1.7     1.7     1.7     1.7     1.7       1.8     1.7     1.7     1.7     1.7     1.7     1.7       1.8     1.7     1.7     1.7     1.7     1.7     1.7       1.8     1.7     1.7     1.7     1.7     1.7     1.7       1.8     1.7     1.7     1.7     1.7     1.7     1.8       1.8     1.8     1.8     1.8     1.8     1.8       1.8     1.7     1.8     1.8     1.8     1.8	1.1	Property & Equipment	5,794,015	5,794,015	
1.4       Investment in Debt Securities		Intangible Assets	5,000,000	5,000,000	
It listed than:         It listed					
1.5% of the balance sheet value, in the case of feruse of more from 1-3 year.	1.4				
II. 278:00 the balance sheet value, in the case of tenue of more than 3 years.         II. 100:00           II. 100:00         II. 100:00         III. 100:00         III. 100:00           III. 100:00         III. 100:00         III. 100:00         III. 100:00           III. 100:00         III. 100:00         III. 100:00         IIII. 100:00         IIII. 100:00           III. 100:00         IIII. 100:00         IIII. 100:00         IIII. 100:00         IIIII. 100:00           III. 100:00         IIII. 100:00         IIII. 100:00         IIIII. 100:00         IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII					
I UNIS		ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
L108 of the balance sheet value, in the case of tenue storm					
II.1258: of the balance sheet value, in the case of tenue from 1-3 yeas.         II.1258: of the balance sheet value, in the case of tenue of more than 3 yeas.           IV         II. Investment in Equity Securities         III.1258: of Viel of each securities on the curoff date as computed by the Securities Exchange for 109,454,041         16,515,336         92,85           III. If ited is a viel of each securities on the curoff date as computed by the Securities Exchange for 109,454,041         16,545,336         92,85           III. IS date of the balance share in IPOM/for for Sale: Amount paid as subscription money provided that shares have not been alloted or as on included in the investments of socurities toker.         14,671,803         14,671,803           III.12.12.13.13.13.13.13.13.13.13.13.13.13.13.13.					
II. TeXe of the balance sheet value, in the case of feature of more than 3 years.         1.1           1.1         IV-externent In CqUIX Socialities on the cutoff date as computed by the Securities Exchange for texes out the Michaed Social Socia Social Social Socia Social Social Social Social Soci					
If listed 15% or Valit of anch securities on the cutoff date as computed by the Securities Fachange for       109,454,041       16,615,336       92,85         Respective socurities withchever is higher.       If unitsted. 100% of camping value.       If unitste					
I. F. united. 1005 of careful status         I. S. Status         14.671,803           I. Tomisted. 1005 of careful status         14.671,803         14.671,803           I. Status         14.671,803         14.671,803           I. Tomisted. 1005 of careful status         14.671,803         14.671,803           Provided that blues have to been allocid as an of included in the investments of securities bake.         14.671,803         14.671,803           Provided that 1005 have to been allocid as a provided in the investment in those securities which are pleaded in favor of Stock Exchange (Cleasing House against Margin Financing sequirements or pleadged in favor of Stock Exchange (Cleasing House against Margin Financing sequirements or pleadged in favor of Stock Exchange and Cleasing House against Margin Financing sequirements or pleadged in favor of Stock Exchange and Cleasing House against Margin Financing sequirements or pleadged in favor of Stock Exchange and Cleasing House against Margin Financing sequirements or pleadged in favor of Stock Exchange and Cleasing House are central depository         1.500,000           1.7         Interferent insufficient as compatiles by the Securities Exchange for respective securities within the exchanges, cleasing house or central depository         1.500,000           1.8         Status of the exchange and clearing house         64.857,581         0         64.85           1.10         Deposit with Authenian dimension securities under site, securities exchanges, cleasing house accellate against theorements against theoremosed securities and otherrelated partices         1 <td>1.5</td> <td></td> <td></td> <td></td> <td></td>	1.5				
I.I. functional control of a carrying value.         III. In missied. 00% of carrying value.           III. Subscription more yapics threating in the Chaffer for Sale: Amount paid as subscription more yapic ded that draws have not been alloted or an onto Included in the investments of soculities broker.         III. A fact shall be applied to Value of investment in any socie including brace of listed societies.         III. A fact shall be applied to Value of investment in any societ including brace of listed societies.         III. A fact shall be applied to Value of investment in any societies which are previded in frave of Stock sagainst Short Term financing anargements. In such cases, the haircut as provided in fave of Stock sagainst Short Term financing requirements on pleidaged in fave of Stock Scheme of Cheaters of Investment in associated companies/undertaking         III. Investment in associated companies/undertaking           1.1.         Investment in associated companies/undertaking         III. Invisional 00% of rel value.         III. Invisional 00% of rel value.           1.1.         Investment in associated companies/undertaking         III. Invisional 00% of rel value.         III. Invisional 00% of rel value.         III. Invisional 00% of rel value.         IIII. Invisional 00% of rel value.         IIIII. IIIIIIIIIIIIIIIIIIIIIIIIIIIIIII			109,454,041	16,615,336	92,838,705
provided that bares have not been alloted or are not included in the investments of securities books.         14.671.003           that are in Block, Freeze of Pedge tatus is on reporting date. (July 19.2017)         14.671.003         14.671.003           Provided that 10% heards applied to Case of Investment in any asset included securities.         14.671.003         14.671.003           In Provided that 10% heards against Short form thrancing arengements. In such cases the balcular a provided in constraints a substallates.         14.671.003         14.671.003           1.6         Investment in associated companies/undertaking					
In 100% Haicut shall be applied to Value of Investment in any asset Including shares of listed securities that are in Block, Recer of Pedge status as on reporting date (July 12, 2017)         14,671,803         14,671,803           Provided that 100% haicut shall not be applied in case of Investment in those securities which are Pledged in favor of Stock Schemper (Lotening flows against Margin Financing requirements or pledged in favor of Stock Schemper (Lotening flows against Margin Financing requirements or pledged in favor of Stock Schemper (Lotening Financing)         1           1.0         Investment in subschemper of Investment in socurities which cases, the haircut as provided in a stock of the stock of the stock of the securities becurities Exchange for respective securities whichever is higher.         1           1.1         Investment in subschemper of the securities applicable (Augurt 28, 2017)         1.500,000         1.500,000           1.2         Investment in associated companies undertaking In Histock 100% or hat value.         64,857,861         0         64,857           1.3         Margin deposits with exchange and clearing house.         64,857,581         0         64,857           1.4         Arcardel Initia augurt 20,000         1.500,000         1.500,000         1.500,000           1.4         Arcardel Initia august 20,000         429,571         429,571         429,571           1.1.2         Arcardel Initia august 20,000         429,571         429,571         1.500,000         1.500,000					
Interaction         Interaction         Interaction         Interaction           Interaction         Interaction         Interaction         Interaction           1         Interaction         Interaction         Interaction         Interaction           1.1         Interaction         Interaction         Interaction         Interaction           1.3         Interaction         Interaction         Interaction         Interaction           1.3         Interaction         Interaction         Interaction         Interaction         Interaction           1.4         Interaction         Interaction         Interaction         Interaction         Interaction         Interaction           1.6         Interaction         <			14 671 803	14 671 803	
Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Bank against Short ferm financing arrangements. In such cases, the haicut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)           1.6         Investment in subsclated companies/undertaking			14,071,003	14,071,003	
In favor of Banks against short term financing arrangements. In such cases, the halicut as provided in schedule if of the Regulations in respect of investment in soculities shall be applicable (August 25, 2017)  1.6 Investment in associaled companies/undertaking 1.7 Investment in associaled companies/undertaking 1.8 Totulae in the associaled companies/undertaking 1.9 Investment in associaled companies/undertaking 1.9 Investment in associaled companies/undertaking 1.1 Investment in associaled companies/undertaking 1.1 Invised.100% of net value. 1.2 Invised.100% of net value. 1.3 Statutory or regulatory deposit/basic deposits with the exchanges, clearing house or central depository 1.500,000,000 1.500,000 1.500,000,000 1.500,000,000 1.500,000,000,000,000,000,000,000,000,000					
schedule III of the Regulations in respect of Trivestment in securities shall be applicable (August 25, 2017)					
1.7       Investment in associated companies/undertaking       In Filter 20% or VB of each securities acomputed by the Securities Exchange for respective securities         1.8       Intel 20% or VB of each securities as computed by the Securities Exchange for respective securities       1.500,000         1.8       Statutory or regulatory deposits with the exchanges, clearing house or central depository       1.500,000         1.9       Margin deposits with exchange and clearing house.       64.857.851       0         1.10       Deposit with authorized intermediary against borowed securities under SLB.       429.571       429.571         1.11       Other deposits and prepayments       429.571       429.571       429.571         1.12       Accrued Interest, protein or mark-up on amounts placed with financial institutions or debt securities       429.571       429.571         1.12       Accrued Interest, protein or mark-up on amounts placed with financial institutions or debt securities       429.571       429.571         1.13       Dividends receivables against Repo financing.       100% inspect of markup accrued on loans to directors, subsidiaries and other related parties       114       114.00         1.14       Armount secivable against Repo financing.       114.00       0       111         1.15       Insoft term hore on account of entitlements against trading of securities in all markets including MKM galins.       13.061.875 <t< td=""><td></td><td></td><td></td><td></td><td></td></t<>					
I. If listed 20% or V6F of each securities as computed by the Securities Exchange for respective securities          whichever is higher.          1.8       Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository       1,500,000         1.8       Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository       1,500,000         1.9       Margin deposits with exchange and clearing house.       64,857,581       0         1.10       Deposit with authorized intermediary against borrowed securities under SLB.       429,571       429,571         1.12       Che deposits and prepayments       429,571       429,571       429,571         1.12       Che deposits and prepayments       429,571       429,571       429,571         1.13       Dividend's recelvables            1.14       Accured Interest, profit of mark-up on amounts placed with financial institutions or debt securities            1.13       Dividend's recelvables              1.14       Amounts recelvables        2,892,952       2,892,952             1.15       Is not be included in the investinmedian posecurities in all markets including MM gains	-				
whichever is higher.         iii Tentisted, 100% for ter value.           1.8         Statutory or regulatory depositbasic deposits with the exchanges, clearing house or central depository         1,500,000           1.9         Margin deposits with exchange and clearing house.         64,857,581         0         64,857           1.10         Deposits with exchange and clearing house.         64,857,581         0         64,857           1.11         Other deposits with exchange and clearing house.         428,571         429,571         429,571           1.12         Accrued Interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nii)         428,571         429,571           1.12         Accrued Interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nii)         64,867,581         0         64,867           1.13         Dividends receivables against Roo financing.         428,571         429,571         429,571           1.14         Amount sciel exhable against Roo financing.         428,571         429,571         429,571           1.14         Amount sciel sevable against Roo financing.         114,000         0         111           1.15         Ishert the ona To Employees: Loans are Secured and Due for repayment within 12 months         114,000         0         111           1.10%	1.7				
ii. If unlisted, 100% of net value.					
or any other entity.       64.857.581       0         19       Margin deposits with exchange and clearing house.       64.857.581       0         110       Deposit with authorized intermediary against borrowed securities under SLB.       429.571       429.571         111       Other deposits and prepayments       429.571       429.571         112       Accrued interest, profit or mark - up on amounts placed with financial institutions or debt securities       61.857.581       0         113       Dividends receivables against Repo financing.       100% in respect of markup accrued on loans to directors, subsidiaries and other related parties       11.4       Amounts receivables against Repo financing.         Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)       114.000       0       114         1.16       I. Receivables other than trade receivables       2.692.952       2.692.952       2.692.952         1.16       Receivables from clearing house or securities exchange(5)       1.10% value of clains other than those on account of entitlements against trading of securities in all markets including MtM gains.       13.061.875       0       13.061         1.17       Receivables are against margin francing, the aggregate if (0) value of securities in all markets including MtM gains.       13.061.875       0       13.061       13.061       13.061 <td></td> <td>ii. If unlisted, 100% of net value.</td> <td></td> <td></td> <td></td>		ii. If unlisted, 100% of net value.			
1.9       Margin deposits with exchange and clearing house.       64,857,581       0       64,857         1.10       Deposit with auchnange and clearing house.       64,857,581       0       64,857         1.11       Other deposits and prepayments.       429,571       429,571       429,571         1.12       Accound Interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(NII)       429,571       429,571       429,571         1.13       Dividends receivables       -       -       -       -       -         1.14       Amounts precivable against Repo financing.       - </td <td>1.8</td> <td></td> <td>1,500,000</td> <td>1,500,000</td> <td></td>	1.8		1,500,000	1,500,000	
1.10       Deposit with authorized intermediary against borowed securities under SLB.	1.9		64.857.581	0	64,857,581
1.12       Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities          1.13       Dividends receivables          1.14       Amounts receivable against Rep financing.          Amounts receivable against Rep financing.          1.14       Amounts receivable against Rep financing.          Amounts receivable against Rep financing.          1.15       Dividends receivables against Rep financing.          1.14       Amounts receivables in the investments.)          1.15       Dividends receivables from Clearing house or securities exchange(s)           1.16       Receivables from Clearing house or securities exchange(s)            1.100% value of claims other than tade receivables             1.17       Receivables from Clearing house or securities in all markets including MtM gains.       13,061,876       0       13,061         1.17       Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut.            1.1       Receivables are against margin financing. The aggregate if (i) value of securities held in the blocked account after applying VAR based haircut.	1.10	Deposit with authorized intermediary against borrowed securities under SLB.			
etc.(Nii)       100% in respect of markup accued on loans to directors, subsidiaries and other related parties       11         113       Dividends receivable against Repo financing, Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).       0         1.15       I.Short Tem Loan To Employees: Loans are Secured and Due for repayment within 12 months       114,000       0         1.16       Receivables other than trade receivables       2,692,952       2,692,952         1.16       Receivables from Clearing house or securities exchange(s)       1       1         1.10       Receivables from clearing house or securities exchange(s)       1       13,061,875       0         1.10       Receivables from customers       1       1       1       1         1.17       Receivables from customers       1<			429,571	429,571	
1.13       Dividends receivables.	1.12	etc.(Nil)			
1.14       Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).       0         1.15       i. Short ferm Loan To Employees: Loans are Secured and Due for repayment within 12 months       114,000       0       11         1.16       Receivables other than trade receivables       2,692,952       2,692,952       2,692,952         1.16       Receivables from Clearing house or securities exchange(s)		100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)       1         1.15       I. Short Term Loan To Employees. Loans are Secured and Due for repayment within 12 months       114,000       0       111         I. Receivables other than trade receivables       2,692,952       2,692,952       2,692,952       2,692,952         1.16       Receivables from Clearing house or securities exchange(s)       1       1       1       1       1       1       1       1       1       1       1       1       1       1       0       111         I. Receivables on clearing house or securities exchange(s)       1       1       1       1       1       1       1       1       1       0       1       1       1       1       1       1       1       1       0       1       1       1       1       0       1       1       0       1       1       0       1       1       1       1       0       1       1       0       1       1       0       1       1       0       1       1       0       1       1       0       1       1       0       1       1       0       1       1 <t< td=""><td></td><td></td><td></td><td></td><td></td></t<>					
1.15       i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months       114,000       0       111         ii. Receivables other than trade receivables       2,692,952       2,692,952       2,692,952         1.16       Receivables from Clearing house or securities exchange(s)	1.14	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.16       Receivables from clearing house or securities exchange(s)       1.10% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       13,061,875       0       13,06         1.17       Receivables from customers       13,061,875       0       13,06         1.17       Receivables from customers       13,061,875       0       13,06         1.17       Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       1       1         I. Incase receivables are against margin trading, 5% of the net balance sheet value.       1       1       1         I. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral by the net balance sheet value.       1       1       1         II. Incase receivables are against more than 5 days overdue, 0% of the net balance sheet value.       1       1,793,646       1,75         III. Net amount after deducting haircut       1	1.15		114,000	0	114,000
i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       ii. Now value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       13,061,875       0       13,061         1.17       Receivables from customers		ii. Receivables other than trade receivables	2,692,952	2,692,952	
markets including MtM gains.       ii. Receivable on entitlements against trading of securities in all markets including MtM gains.       13,061,875       0       13,06         1.17       Receivables from customers       ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       ii. Lower of net balance sheet value or value determined through adjustments.       ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Incase receivables not more than 5 days overdue, 0% of the net balance sheet value.       1,793,646       0       1,75         iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,752,389       66,343       6         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       1,793,646       0       1,75         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities held as collateral	1.16				
ii. Receivable on entitlements against trading of securities in all markets including MtM gains.       13,061,875       0       13,061         1.17       Receivables from customers					
1.17       Receivables from customers       In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral after applying VAR based haircut.       In case receivables are against margin trading, 5% of the net balance sheet value.         I. I. Net amount after deducting haircut       III. Net amount after deducting haircut       IIII. Net amount after deducting haircut         III. Net amount after deducting haircut       IIII. Net amount after deducting haircut       IIIII. Net amount after deducting haircut         III. Net amount after deducting haircut       IIII. Net amount after deducting haircut       IIIIII. Net amount after deducting haircut         IV. Incase of other trade receivables net optimum to contract, with Net amount after deducting haircut       IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII			13.061.875	0	13,061,875
blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 772,155 772,155 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts i. Bank Balance-proprietory accounts	1.17				
market value of any securities deposited as collateral after applying VaR based haircut.       i. Lower of net balance sheet value or value determined through adjustments.         ii. Lower of net balance sheet value or value determined through adjustments.       iii. Incase receivables are against margin trading, 5% of the net balance sheet value.         ii. Net amount after deducting haircut       iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,752,389       66,343       6         value of securities purchased for customers and held in sub- accounts after applying VAR based haircuts.       vi. 100% haircut in the case of amount receivable form related parties.       772,155       772,155         1.18       Cash and Bank balances       1,551,772       0       1,551		i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
i. Lower of net balance sheet value or value determined through adjustments.       ii. Incase receivables are against margin trading, 5% of the net balance sheet value.         ii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,         iii. Net amount after deducting haricut       iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       1,793,646       0       1,75         iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,752,389       66,343       6         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral applying VAR based haircuts.       772,155       772,155         1.18       Cash and Bank balances       i. Bank Balance-proprietory accounts       1,551,772       0       1,555					
ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       ii. Net amount after deducting haircut         iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut         iii. Net amount after deducting haircut       iii. Net amount after deducting haircut       iii. Net amount after deducting haircut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       1,793,646       0       1,792         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral applying VAR based haircuts.       772,155       772,155         1.18       Cash and Bank balances       i. Bank Balance-proprietory accounts       1,551,772       0       1,555					
iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haricut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       1,793,646       0       1,79         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,752,389       66,343       6         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       772,155       772,155         1.18       Cash and Bank balances       1		ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
collateral upon entering into contract,       iii. Net amount after deducting haricut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       1,793,646       0       1,79         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,752,389       66,343       6         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,752,389       66,343       6         value of securities purchased for customers and held in sub-accounts after applying VAR based       4,752,389       66,343       6         value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       772,155       772,155         1.18       Cash and Bank balances       1       1       1       1         i. Bank Balance - proprietory accounts       1,551,772       0       1,555					
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       1,793,646       0       1,793         iv. Balance sheet value       v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,752,389       66,343       6         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       772,155       772,155         1.18       Cash and Bank balances       1,551,772       0       1,55					
iv. Balance sheet value       iv. Balance sheet value       iv. Balance sheet value         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,752,389       66,343       6         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       6       6       6         vi. 100% haircut in the case of amount receivable form related parties.       772,155       772,155       772,155         1.18       Cash and Bank balances       1,551,772       0       1,55		iii. Net amount after deducting haricut			
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral applying VAR based haircuts.       4,752,389       66,343       6         vi. 100% haircut in the case of amount receivable form related parties.       772,155       772,155       772,155         1.18       Cash and Bank balances       1,551,772       0       1,55			1,793,646	0	1,793,646
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.			4,752,389	66,343	66,343
securities held as collateral after applying VaR based haircuts.       772,155         vi. 100% haircut in the case of amount receivable form related parties.       772,155         1.18       Cash and Bank balances         i. Bank Balance-proprietory accounts       1,551,772       0		value of securities purchased for customers and held in sub-accounts after applying VAR based		-	
vi. 100% haircut in the case of amount receivable form related parties.       772,155         1.18       Cash and Bank balances         i. Bank Balance-proprietory accounts       1,551,772       0					
1.18Cash and Bank balances1,551,77201,555i. Bank Balance-proprietory accounts1,551,77201,555			772,155	772,155	
	1.18	Cash and Bank balances			

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-DEC-18** 

of M/s. Insight Securities (Pvt.) Ltd.

Submission Date 15-JAN-2019 17:42:37

	Submission Date 13-JAN-2019 17.42.37		Page	2 Of 3
S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1.19	Total Assets	312,421,434	47,542,175	260,259,556
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products	05.007.405		
2.2	iii. Payable to customers	85,827,495	0	85,827,495
2.2	Current Liabilities i. Statutory and regulatory dues			
	ii. Accruals and other payables	6.916.963	0	6,916,963
	iii. Short-term borrowings	0,010,000		0,010,000
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts			
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements Subordinated Loans			
2.4	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP	22,500,000	0	22,500,000
2.5	T-1-11/-1/04	115,244,458	0	115,244,458
3	Total Liabilites	113,244,430	0	113,244,430
3.1	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(ii) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
3.5	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total			
	assets denominated in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			
1				

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-DEC-18** 

of M/s. Insight Securities (Pvt.) Ltd.

Submission Date 15-JAN-2019 17:42:37

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee&eller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the	13,518,782	13,518,782	13,518,782
3.10	extent not already met Short sellI positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	13,518,782	13,518,782	13,518,782
3.12	Liquid Capital	183,658,194	34,023,393	131,496,316